

**Chapter 55** 1  
**How Early Aging and Environment Interact** 2  
**in Everyday Listening: From Brainstem** 3  
**to Behavior Through Modeling** 4

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**Abstract** We recently showed that listeners with normal hearing thresholds vary 6  
in their ability to direct spatial attention and that ability is related to the fidelity of 7  
temporal coding in the brainstem. Here, we recruited additional middle-aged lis- 8  
teners and extended our analysis of the brainstem response, measured using the 9  
frequency-following response (FFR). We found that even though age does not pre- 10  
dict overall selective attention ability, middle-aged listeners are more susceptible to 11  
the detrimental effects of reverberant energy than young adults. We separated the 12  
overall FFR into orthogonal envelope and carrier components and used an existing 13  
model to predict which auditory channels drive each component. We find that 14  
responses in mid- to high-frequency auditory channels dominate envelope FFR, 15  
while lower-frequency channels dominate the carrier FFR. Importantly, we find 16  
that which component of the FFR predicts selective attention performance changes 17  
with age. We suggest that early aging degrades peripheral temporal coding in mid- 18  
to-high frequencies, interfering with the coding of envelope interaural time differ- 19  
ences. We argue that, compared to young adults, middle-aged listeners, who do not 20  
have strong temporal envelope coding, have more trouble following a conversation 21  
in a reverberant room because they are forced to rely on fragile carrier ITDs that 22  
are susceptible to the degrading effects of reverberation. 23

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## 24 1 Introduction

25 The cacophony of voices, noises, and other sounds that bombards our ears in  
26 many social settings makes it challenging to focus selective auditory attention.  
27 Various acoustic cues allow us to group sound components into perceptual objects  
28 to which we can direct attention (Darwin 1997; Shinn-Cunningham 2008; Shamma  
29 and Micheyl 2010; Shamma et al. 2011). In most common settings, reflected  
30 sound energy intensifies the problem of separating sound sources and selecting  
31 the source of interest by blurring the sound features that support source segrega-  
32 tion and selection.

33 Many listeners report difficulties in everyday situations demanding selective  
34 attention, especially as they age (Leigh-Paffenroth and Elangovan 2011; Noble  
35 et al. 2012). We wondered if these problems are most evident when reverberant  
36 energy challenges the auditory system. We designed a task in which listeners  
37 had to focus spatial attention on a center, target speech stream in a mixture of  
38 three otherwise identical streams of spoken digits, and then varied the level of  
39 reverberation (Ruggles et al. 2011; Ruggles and Shinn-Cunningham 2011). By  
40 design, listeners are likely to rely on interaural timing differences (ITDs) to  
41 perform this task (Ruggles et al. 2011). Since reverberant energy causes inter-  
42 aural decorrelation, we found, as expected, that selective attention performance  
43 got worse with reverberation. We also found that individual ability on our task  
44 was correlated both with perceptual sensitivity to frequency modulation (FM)  
45 and overall strength of the frequency-following response (FFR; see also Strelcyk  
46 and Dau 2009). However, we had too few middle-aged listeners to explore age  
47 effects.

48 Here, we recruited additional middle-aged listeners so that we could look for aging  
49 effects. We extended our analysis of the FFR by separating the response into the portion  
50 phase locking to the stimulus envelope ( $FFR_{ENV}$ ) and that phase locking to the stimulus  
51 carrier ( $FFR_{CAR}$ ; similar to approaches described in Aiken and Picton 2008; Gockel et al.  
52 2011). We used existing brainstem response models (Dau 2003; Harte et al. 2010) to  
53 investigate which acoustic frequencies contribute to  $FFR_{ENV}$  and  $FFR_{CAR}$ .

## 54 2 Methods

### 55 2.1 Subjects

56 A total of 22 listeners ranging in age from 20.9 to 54.7 years participated in the  
57 experiments. All listeners had average audiometric hearing thresholds of 20-dB HL  
58 or better for frequencies from 250 to 8,000 Hz and left-right ear asymmetry of 15 dB  
59 or less at all frequencies. Of the 22 listeners, 17 were participants in earlier studies;  
60 the newly recruited five all were over 40 years of age. All gave informed consent  
61 and were paid for their participation.

## 2.2 FFR Measurement

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FFRs were measured in response to a /dah/syllable presented in positive polarity for 2,000 trials and in inverted polarity for 2,000 trials (Ruggles et al. 2011). Trials containing eyeblinks or other artifacts were removed, leaving at least 1,800 clean trials for each subject, condition, and stimulus polarity. The time series from each trial was windowed with a first-order Slepian taper (Thomson 1982) and the Fourier transform was computed. We generated distributions of phase-locking values (PLV) for different conditions using a bootstrapping procedure to produce 200 independent PLVs, each computed from a draw (with replacement) of 800 trials (Ruggles et al. 2011). We broke the PLV into orthogonal envelope and carrier components ( $FFR_{ENV}$  and  $FFR_{CAR}$ ) at every frequency from 30 to 3,000 Hz.  $FFR_{ENV}$  was calculated with equal draws from responses to each polarity, treating positive- and negative-polarity trials identically.  $FFR_{CAR}$  was determined with equal draws from responses to each polarity but inverting the phase of negative-polarity trials (see also Aiken and Picton 2008; Gockel et al. 2011). For each harmonic of 100 Hz, we computed the proportion of the total FFR in  $FFR_{ENV}$  and in  $FFR_{CAR}$ .

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## 2.3 FFR Modeling

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We used an existing model of brainstem responses (Dau 2003; Harte et al. 2010) to analyze the sources of the different components of the FFR. We presented the model with our /dah/ syllable, then calculated the FFR by summing model outputs across peripheral channels with CFs spanning the range from 100 up to 10,000 Hz. At each harmonic (multiple of 100 Hz), we then computed the proportion of the total FFR phase locked to the envelope and the proportion phase locked to the carrier ( $FFR_{ENV}$  and  $FFR_{CAR}$ ). We then considered the output of each peripheral channel to explore which acoustic frequencies contributed to which components of the FFR. Finally, we analyzed the relative strength of the contribution of each peripheral channel to  $FFR_{ENV}$  at the fundamental frequency (100 Hz).

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## 2.4 Spatial Attention Task

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Subjects were asked to report a sequence of four digits appearing to come from in front while ignoring two competing digit streams, spoken by the same talker, from  $+15^\circ$  to  $-15^\circ$  azimuth (Ruggles and Shinn-Cunningham 2011). Spatial cues were simulated using a rectangular-room model with three different wall characteristics (Ruggles and Shinn-Cunningham 2011). Prior to statistical analyses, percent correct scores were transformed using a rationalized arcsine unit (RAU; Studebaker 1985). In the task, listeners report one of the three presented words nearly 95 % of

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97 the time; errors arise because of failures of selective attention, rather than memory  
98 limitations (Ruggles and Shinn-Cunningham 2011). Therefore, percent scores in  
99 the range 0.33–1.0 were linearly transformed to 0–1.0 (scores < 0.33 set to 0) prior  
100 to applying the transform.

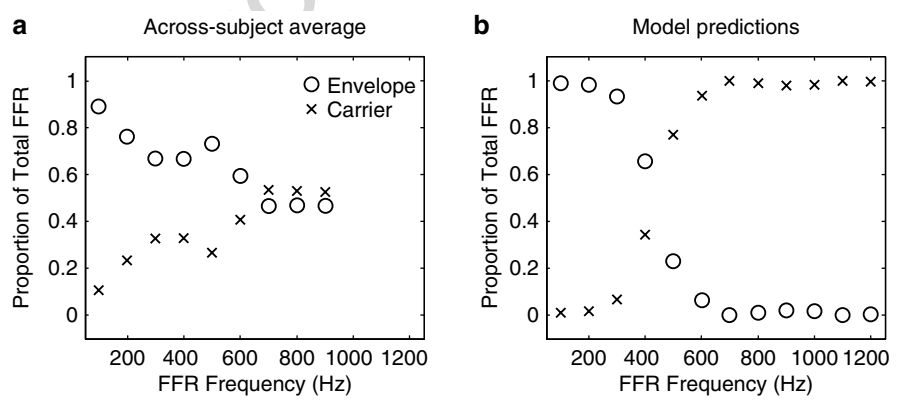
101 **2.5 FM Detection Task**

102 Listeners indicated which of three 750-Hz tones (interstimulus interval 750 ms)  
103 contained 2-Hz frequency modulation (Strelcyk and Dau 2009). A two-down,  
104 one-up adaptive procedure (step size 1 Hz) estimated the 70.7 % correct FM thresh-  
105 old. Individual thresholds were computed by averaging the last 12 reversals per run,  
106 then averaging across six runs.

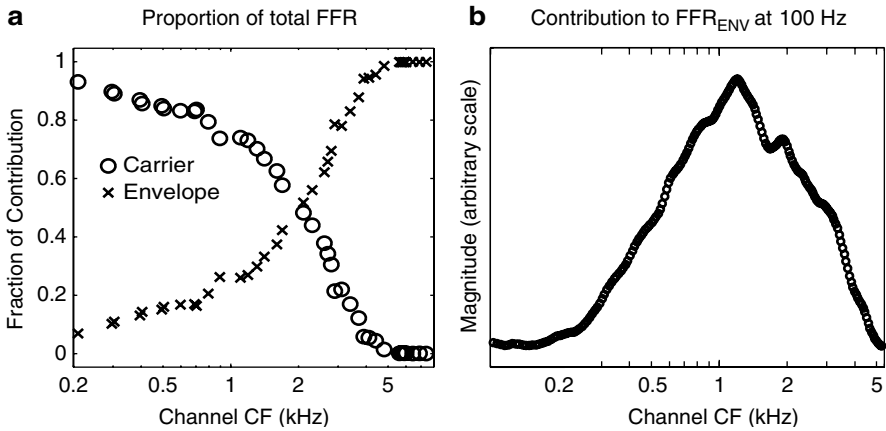
107 **3 Results**

108 **3.1 Generators of  $FFR_{ENV}$  and  $FFR_{CAR}$**

109 Figure 55.1 compares measurements and model predictions of the relative strengths  
110 of  $FFR_{ENV}$  and  $FFR_{CAR}$  at harmonics of a periodic input ( $F_0=100$  Hz). The lowest  
111 frequencies of the  $FFR_{CAR}$  are dominated by  $FFR_{ENV}$  and the higher harmonics are  
112 dominated by  $FFR_{CAR}$ . Both FFR components approach the noise floor in the empiri-  
113 cal measurements by 800–900 Hz, which may help explain why the percentages of  
114  $FFR_{ENV}$  and  $FFR_{CAR}$  in the total FFR both asymptote to 0.5 as frequency increases



**Fig. 55.1** Proportion of total FFR contained in  $FFR_{ENV}$  and in  $FFR_{CAR}$  at each harmonic of 100 Hz from (a) experimental measures and (b) model predictions



**Fig. 55.2** (a) Relative strength of  $FFR_{ENV}$  and  $FFR_{CAR}$  generated by each peripheral channel as a function of characteristic frequency (CF). (b) Relative contribution of each CF channel to strength of  $FFR_{ENV}$  at stimulus F0 of 100 Hz

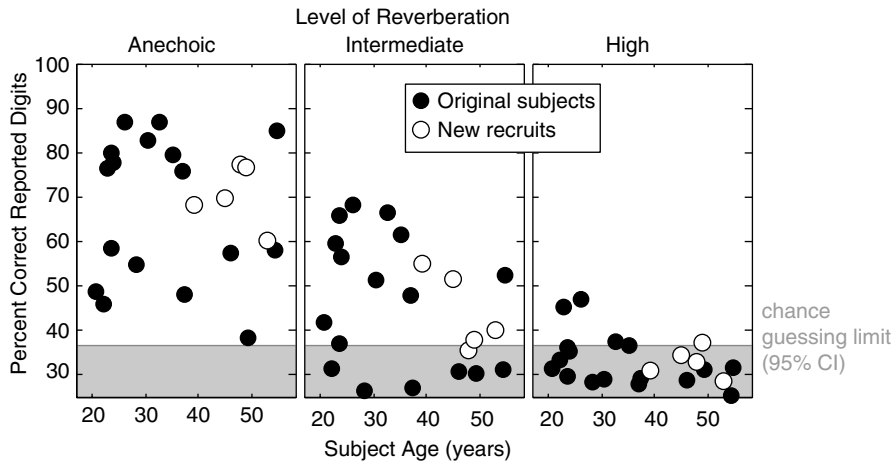
and why the measured  $FFR_{ENV}$  does not drop as completely or as rapidly as the modeled  $FFR_{ENV}$  as frequency increases.

Modeling results also suggest that different acoustic frequencies contribute to  $FFR_{ENV}$  and  $FFR_{CAR}$ . In the model, peripheral channels with the lowest characteristic frequencies (CFs) tend to contribute to  $FFR_{CAR}$  and peripheral channels with the highest CFs contribute to  $FFR_{ENV}$  with a crossover point of about 2 kHz (Fig. 55.2a). The model also predicts that the channels that contribute the most to the 100-Hz  $FFR_{ENV}$  for our /dah/ syllable have CFs in the mid-to-high frequency range, around 1 kHz (Fig. 55.2a).

### 3.2 Effects of Reverberation and Age on Selective Attention

Selective attention performance decreases as reverberant energy increases, reaching chance levels for all but five listeners in the highest reverberation level (Fig. 55.3; chance performance is one-third; modeling performance as a binomial distribution of 600 independent trials, we computed the 95 % confidence interval around this level).

We quantified the fidelity of envelope temporal structure encoding for each listener as the  $FFR_{ENV}$  at 100 Hz. To quantify coding of the temporal fine structure in the input stimulus, we took the average of  $FFR_{CAR}$  for four harmonics (600–900 Hz, henceforth denoted  $FFR_{CAR-AV}$ ). Importantly, these two statistics are not significantly correlated ( $r=0.03$ ,  $p=0.905$ ,  $N=22$ ), supporting the modeling prediction that each component reflects different aspects of temporal coding precision driven by different tonotopic portions of the auditory pathway.



**Fig. 55.3** Percentage of target digits correctly reported as a function of individual listener age for the three room conditions. *Open symbols* show subjects not in Ruggles et al. (2011)

136 We performed a multi-way, repeated-measures ANOVA on the selective attention  
 137 results with factors of reverberation, age,  $\text{FFR}_{\text{ENV-100}}$ , and  $\text{FFR}_{\text{CAR-AV}}$  (treating  
 138 reverberation as categorical and all other factors as continuous). Although there is  
 139 no statistically significant effect of age on selective attention performance  
 140 (Fig. 55.1a;  $F(1, 16)=1.42, p=0.251$ ), there is a significant interaction between age  
 141 and reverberation ( $F(1, 16)=5.88, p=0.025$ ) and a significant main effect of  
 142 reverberation ( $F(1, 16)=155.17, p=7.01 \times 10^{-11}$ ). Although age does not predict  
 143 how well an individual performs overall, the toll that reverberation takes increases  
 144 with age.

### 145 3.3 Relationship Between FFR Components and Performance

146 Consistent with previous results showing that the total FFR strength at 100 Hz (a  
 147 measure dominated by envelope phase locking; see Fig. 55.1) predicted selective  
 148 attention ability (Ruggles et al. 2011), we find a significant main effect of  $\text{FFR}_{\text{ENV-100}}$   
 149 on performance ( $F(1, 16)=5.03, p=0.040$ ). Importantly, however, there is a  
 150 significant interaction between age and  $\text{FFR}_{\text{ENV-100}}$  ( $F(1, 16)=4.64, p=0.048$ ). There  
 151 is also a significant interaction between age and  $\text{FFR}_{\text{CAR-AVE}}$  ( $F(1, 16)=4.64,$   
 152  $p=0.047$ ), with no main effect of  $\text{FFR}_{\text{CAR-AVE}}$  ( $F(1, 16)=0.216, p=0.649$ ). The  
 153 regression coefficients of the ANOVA analysis reveal that the younger a listener is,  
 154 the better  $\text{FFR}_{\text{ENV-100}}$  is in predicting selective attention, whereas  $\text{FFR}_{\text{CAR-AVE}}$  is a bet-  
 155 ter predictor the older the listener. These results suggest that  $\text{FFR}_{\text{ENV-100}}$  and  
 156  $\text{FFR}_{\text{CAR-AVE}}$  reflect different perceptual cues that each aid in selective auditory  
 157 attention but that are weighted differently as listeners age.

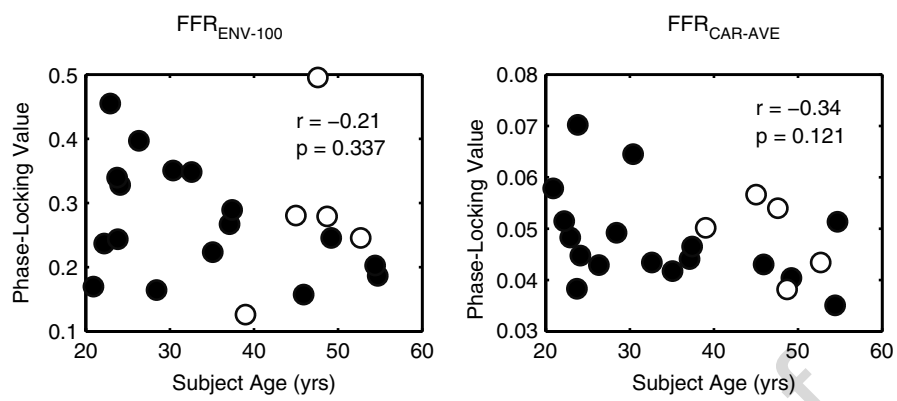


Fig. 55.4 (a)  $FFR_{ENV-100}$  as a function of age. (b)  $FFR_{CAR-AVE}$  as a function of age. Open symbols show subjects not in Ruggles et al. (2011)

### 3.4 Individual Differences in FFR

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Figure 55.4 plots  $FFR_{ENV-100}$  and  $FFR_{CAR-AVE}$  as a function of age. While both components tend to decrease as age increases, age is not significantly correlated with either  $FFR_{ENV-100}$  or with  $FFR_{CAR-AVE}$ . Notably, a good percentage of the younger adult listeners have strong FFRs (particularly for  $FFR_{ENV-100}$ ), whereas nearly all the older listeners have weak FFRs. Thus, most of the variance in the FFRs is from the younger listeners and cannot be explained by age alone.

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### 3.5 Relationship Between FM Detection Threshold and Performance

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We previously found that FM detection threshold, a measure thought to reflect coding fidelity of temporal fine structure (Moore and Sek 1996), was also related to attention performance (Ruggles et al. 2011). This relationship remains significant with our additional subjects, as shown in Fig. 55.5.

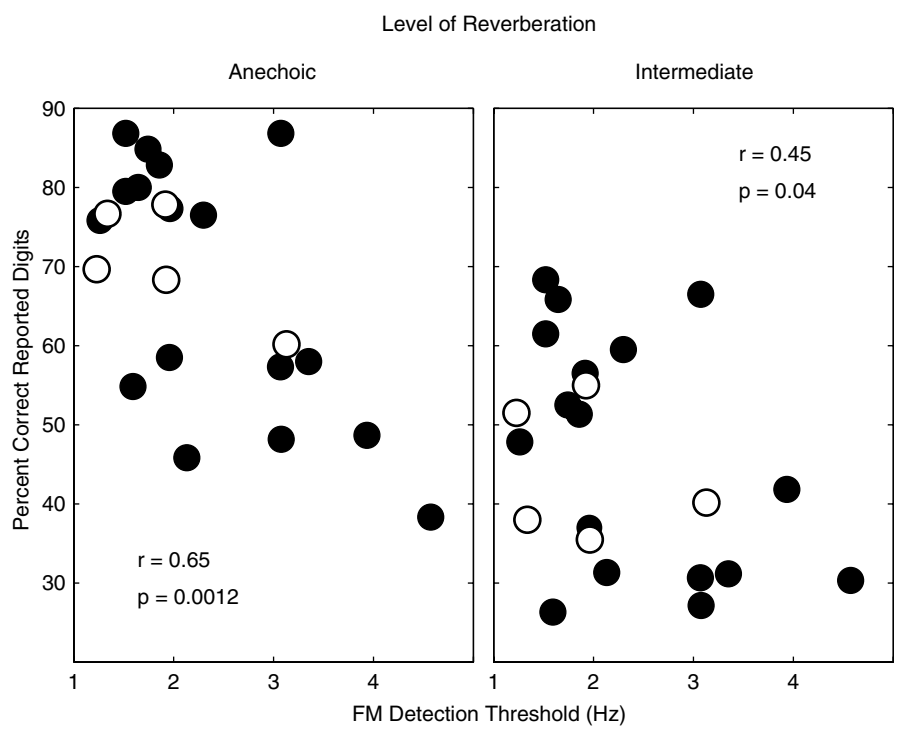
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## 4 Discussion

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Some previous studies have found that aging reduces FFR strength (Clinard et al. 2010); however, not all studies have found group age effects (Vander Werff and Burns 2011). Moreover, even studies that find age-related group differences have not consistently found corresponding age-related differences in auditory perceptual abilities (Clinard et al. 2010). The current study helps explain these discrepant

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**Fig. 55.5** Percentage of target digits correctly reported as a function of FM threshold for the two levels of reverberation where performance is above chance. *Open symbols* show subjects not in Ruggles et al. (2011)

177 findings, in that there is a large variation in brainstem responses even among young  
178 adults. By looking at individual subjects and considering different components of  
179 the FFR, we find reliable interactions between aging, perceptual ability, and specific  
180 components of the FFR.

181 Our results suggest that the FFR envelope component at the fundamental fre-  
182 quency of the stimulus tends to become weak as listeners reach middle age,  
183 possibly because the neural response to suprathreshold sound at acoustic fre-  
184 quencies in the mid-to-high frequency range (e.g., around 1,000 Hz) is reduced  
185 in overall strength. Physiological results show that noise exposure can reduce  
186 the magnitude of neural responses that are suprathreshold, even when thresh-  
187 olds are “normal” (Kujawa and Liberman 2009). These changes may come about  
188 because low-spontaneous-rate nerve fibers are particularly vulnerable to damage  
189 (Schmiedt et al. 1996).

190 In our task, performance is primarily limited by the ability to successfully direct  
191 spatial auditory attention, which may help explain why performance depends on the  
192 fidelity of envelope temporal coding. Envelope ITD cues in high-frequency sounds  
193 are known to carry spatial information; however, a number of classic laboratory  
194 experiments establish that for wideband, anechoic sounds, low-frequency carrier



ITDs perceptually dominate over high-frequency spatial cues (Wightman and Kistler 1992; Macpherson and Middlebrooks 2002). The current results suggest that in reverberant settings, high-frequency ITD cues, encoded in signal envelopes, may be more important for spatial perception of wideband sounds than past laboratory studies suggest.

In anechoic conditions, temporal fine structure cues and temporal envelope cues both provide reliable information for directing selective spatial auditory attention. However, in reverberant settings, interaural decorrelation of temporal fine structure is more severe than interaural decorrelation of envelope structure; thus, high-frequency envelope ITD cues may be crucial to spatial perception in everyday settings. This possibility points to the importance of providing high-frequency amplification in assistive listening devices, which have typically focused on audibility of frequencies below 8 kHz.

Our results hint that middle-aged listeners, who have generally weak encoding of mid- to high-frequency temporal cues, rely on temporal fine structure cues to direct selective spatial auditory attention. This reliance on carrier ITD cues, which are relatively fragile in ordinary listening environments, may explain why middle-aged listeners report difficulty when trying to converse in everyday social settings. In contrast, younger listeners appear to give great perceptual weight to envelope ITD cues when directing selective attention, providing them with a more reliable cue for selective spatial auditory attention.

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